PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (sparta01.005)

Applicant:	Flam
------------	------

Paper No.:

Application No: 10/036,591

Group Art Unit: 2176

Filed: 7/11/2001

Examiner: Robert M. Stevens

Title: Graphical User Interface for Automated Process Control

Commissioner for Patents Alexandria, VA 22313-1450

Certification under 37 C.F.R. 1.97(b)

Applicants' attorney hereby certifies that the items of information contained in the attached information disclosure is being filed in the above patent application within one of the following time periods:

(1) Within three months of the filing date of a national application other than a
	continued prosecution application under § 1.53(d);

- ____ (2) Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
- ____(3) Before the mailing of a first Office action on the merits; or
- X (4) Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.

Respectfully submitted,

Attorney of record, Gordon E. Nelson

57 Central St., P.O. Box 782

Rowley, MA, 01969,

Registration number 30,093

Voice: (978) 948-7632 Fax: (617) 788-0932

August 31, 2005

Date

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents Alexandria, VA 22313-1450

August 31, 2005

(Date)

Gordon E. Nelson, #30,093

(Signature)

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

LABOUSTITUTE for form 1449A/PTO	Complete if Known			
1,725	Application Number	10/036,591		
ORMATION DISCLOSURE	Filing Date	11/7/2001		
STATEMENT BY APPLICANT	First Named Inventor	R. Flam		
AS /	Art Unit	2176		
(Use as many sheets as necessary)	Examiner Name	Robert M. Stevens		
Sheet 1 of 2	Attorney Docket Number	sparta01.005		

			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (ff known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
-414-19		US-			, , , , , , , , , , , , , , , , , , ,
		^{US-} 5,371,675	12-1994	Greif, et al.	classification: 715/503
_		^{US-} 5,966,716	10-1999	Comer, et al.	classification: 707/203
11. A011-11-11-11-11-11-11-11-11-11-11-11-11-		^{US-} 5,969,705	10-1999	Fisher, et al.	classification: 345/764
		^{US-} 6,011,560	01-2001	Stiles, lan James	classification: 345/441
٠		US-			
		US-			
***************************************		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ 'Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
••••••	1					
•••••••			, , , , , , , , , , , , , , , , , , , ,			
•••••••	1	***************************************	•••••••••••••••••••••••••••••••••••••••		***************************************	Г

Examiner	De	ate	
Signature	I	onsidered	
٠ ١			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Application/Control No. Applicant(s)/Patent Under Reexamination 10/022,104 FLAM ET AL. Notice of References Cited Examiner Art Unit Page 1 of 1 Londra C Burge 2178 **U.S. PATENT DOCUMENTS Document Number** Date Classification Name Country Code-Number-Kind Code MM-YYYY US-5,371,675 12-1994 Greif et al. Α 715/503 В US-5,966,716 10-1999 Comer et al. 707/203 US-5,969,705 10-1999 С Fisher et al. 345/764 US-6,011,560 D 01-2000 Stiles, lan James 345/441 Ε US-US-F G US-Н US-USι US-J κ US-Ł US-US-М FOREIGN PATENT DOCUMENTS **Document Number** Date Country Country Code-Number-Kind Code Name Classification MM-YYYY Ν 0 Ρ Q R S T **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)

*A copy of this reference is not being furnished with this Office action (See MPEP § 707 05(a)) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO						Complete if Known	
					Application Number	10/036,591	
][NFOR	MATION DI	SCLO	SURE	Filing Date	11/7/2001	
STATEMENT BY APPLICANT			CANT	First Named Inventor	R. Flam		
					Art Unit	2176	
(Use as many sheets as necessary)					Examiner Name	Robert M. Stevens	
S	heet	2	of	2	Attorney Docket Number	sparta01.005	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Sparta Systems, Inc. "TrackWise 5.5 Release Notes, 1995-2000, (pp. 9)	
•••••••		Sparta Systems, Inc. "TrackWise User's Guide", 1995-2000, (pp. 179)	
		·	
Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.